MULTIPLE SIP WITH TOP-UP FORM

AXIS MUTUAL FUND

Application No.

THE APPLICATION FORM SHOULD BE FILLED IN BLOCK LETTERS ONLY.

Distributor ARN	Sub-Distributor ARN	Internal Sub-Broker / Sol ID	Employee Code	EUIN	RIA CODE^	Serial No., Date & Time Stamp
ARN - 47791	ARN			E		

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor.

^I/We, have invested in the scheme(s) of Axis Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/ portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all schemes of Axis Mutual Fund, to the above mentioned SEBI Registered Investment Adviser:

☐ "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

First / Sole Applicant / Guardian	Second Applicant	Third Applicant	Power of Attorney Holder

TRANSACTION CHARGES FOR APPLICATIONS ROUTED THROUGH DISTRIBUTORS/AGENTS ONLY

☐ I confirm that I am a first time investor across Mutual Funds. **OR** ☐ I confirm that I am an existing investor in Mutual Funds.

In case the subscription (lumpsum) amount is ₹ 10,000/- or more and your Distributor has opted to receive Transaction Charges, ₹ 150/- (for first time mutual fund investor) or ₹ 100/- (for investor other than first time mutual fund investor) will be deducted from the subscription amount and paid to the distributor. Units will be issued against the balance amount invested.

1 Applicant Details

Folio No.

Sole / 1st Unitholder (as in PAN Card / KYC records)

Guardian's Name (as case of minor) First Name Middle Name Last Name

1st Holder PAN 1st Applicant 2nd Holder PAN 2nd Applicant 3rd Holder PAN 3rd Applicant

2 SIP DETAILS

Scheme / Plan / Option	Frequency	SIP Date (DD)	Enrollment Period (MMYY)	SIP Amount	TOP-UP Facility (Optional) Only available for Monthly SIP* Frequency	Amount
	☐ Monthly* ☐ Yearly	D D Default SIP Date 7th	From M M Y Y To M M Y Y OR ☐ 1 2 9 9	₹ in figures in words	☐ Half Yearly ☐ Yearly	₹ in figures in words ☐ As & when
	☐ Monthly* ☐ Yearly	D D Default SIP Date 7th	From M M Y Y To M M Y Y OR ☐ 1 2 9 9	₹ in figures in words	☐ Half Yearly ☐ Yearly	₹ in figures in words ☐ As & when
	☐ Monthly* ☐ Yearly	D D Default SIP Date 7th	From M M Y Y To M M Y Y OR ☐ 1 2 9 9	₹ in figures in words	☐ Half Yearly ☐ Yearly	₹ in figures in words ☐ As & when

3 DECLARATION AND SIGNATURE (To be signed by ALL UNIT HOLDERS if mode of holding is 'joint')

I / We declare that the particulars furnished here are correct. I / We authorise Axis Mutual Fund acting through its service providers to debit my / our bank account towards payment of SIP instalments through an Electronic Debit arrangement / NACH (National Automated Clearing House). If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform Axis Mutual Fund about any changes in my bank account.

This is to inform you that I/We have registered for making payment towards my investments in Axis Mutual Fund by debit to my /our account directly or through ECS (Debit Clearing) / NACH (National Automated Clearing House). I/We hereby authorize to honour such payments and have signed and endorsed the Mandate Form. Further, I authorize my representative (the bearer of this request) to get the above Mandate verified. Mandate verification charges, if any, may be charged to my/our account.

I hereby agree to read the respective SID and SAI of the mutual fund before investing in any scheme of Axis Mutual Fund using this facility.

X Sole/ 1st Unit Holder / POA	X 2nd Unit Holder	X 3rd Unit Holder

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AXIS MUTUAL FUND

UMRN Bank use Date D D M M Y Y Y Y

Tick (✓)
CREATE ✓
MODIFY ☒
CANCEL ☒

Sponsor Bank Code Bank use Utility Code Bank use

I/We hereby authorize **Axis Mutual Fund** to debit (tick✓) ☐ SB ☐ CA ☐ CC ☐ SB-NRE ☐ SB-NRO ☐ Other

Bank a/c number

with Bank Name of customers bank IFSC or MICR

an amount of Rupees in words ₹ in figures

FREQUENCY ☒ Mthly ☒ Qtly ☒ H-Yrly ☒ Yrly ✓ As & when presented DEBIT TYPE ☒ Fixed Amount ✓ Maximum Amount

Reference 1 Folio No. Phone No.

Reference 2 All Schemes of Axis Mutual Fund Email ID

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my accounts as per latest schedule of charges of the bank.

PERIOD

From D D M M Y Y Y Y

To D D M M Y Y Y Y

Or ☐ Until Cancelled

Signature Primary Account holder | Signature of Account holder | Signature of Account holder

1. Name as in bank records 2. Name as in bank records 3. Name as in bank records

This is to confirm that the declaration (as mentioned overleaf) has been carefully read, understood & made by me / us. I am authorizing the User Entity / Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / Corporate or the bank where I have authorized the debit.

MANDATORY FIELDS : • Instrument Date • Account type • Bank A/c number (core banking a/c no only) • Bank name • IFSC code or MICR code (as per the cheque / pass book) • Amount (in words & in figures) • Period start date and end date or until cancelled • Account holder signature • Account holder name as per bank records

ACKNOWLEDGMENT SLIP (To be filled by the investor)

Folio No. Investor Name

Stamp & Signature

INSTRUCTIONS

1. The Application Form should be completed in ENGLISH and in BLOCK LETTERS only.
2. Any over-writing / changes made while filling the form must be authenticated by canceling the original entry, re-entering correct details and ensuring that all applicants counter-sign against each correction.
3. Application forms along with supporting documents can be submitted to ISCs / OPAs, contact details of which are available on www.axismf.com.
4. Investors subscribing under Direct Plan of the scheme will have to indicate "Direct Plan" against the scheme name in the application form e.g. "Axis Equity Fund - Direct Plan". Investors should also indicate "Direct" in the ARN column of the application form. However, in case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the scheme name, the application will be processed under Direct Plan. Further, where application is received for Existing Plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan.
5. Investor investing through distributor shall mention EUIN on the application form, if he/she has been advised by Sales Person/ Employee/ Relationship Manager of the distributor this would assist in addressing any instance of mis-selling. If left blank, applicant(s) need to tick and sign the following declaration "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker." on the form. SEBI has made it mandatory to obtain EUIN no. for every employee/ relationship manager/ sales person of the distributor for selling mutual fund products.
6. Existing unit holders should note that unit holders' details and mode of holding (single, jointly, anyone or survivor) will be as per the existing Account.
7. Investor will not hold Axis Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of NACH Debit/ Local/Bank holiday. Axis Mutual Fund, its registrars and other service providers shall not be held responsible or liable for damages / compensation / loss incurred by the investor as a result of using the SIP or ECS / Auto debt facility. The investor assumes the entire risk of using this facility and takes full responsibility.
8. Axis Mutual Fund reserves the right to reject any application without assigning any reason thereof. Axis Mutual Fund in consultation with Trustees reserves the right to withdraw these offerings, modify the procedure, frequency, dates, load structure in accordance with the SEBI Regulations and any such change will be applicable only to units transacted pursuant to such change on a prospective basis.
9. Investor can register up to 3 different schemes within the same Folio using the Multi SIP Registration facility.
10. For details about the Scheme and its facility please refer the SID, SAI & KIM of the respective schemes / Addendum issued from time to time carefully before investing.
11. Multiple SIP registration facility is not available for Axis Children's Gift Fund, Axis Liquid Fund, and Gold ETF and during NFO.
12. A minimum gap of 21 days and maximum of 90 days needs to be maintained between dates of Application & SIP start date.
13. Investor shall have the option of choosing any date of the month as the SIP date except the dates 29th, 30th and 31st.
14. If SIP date is not mentioned, default date would be considered as 7th of every month. If the SIP date falls on a non-business day or a bank holiday, the SIP debit will be processed on the following business day.
15. Please refer below table for minimum amount per installments:

Scheme	Monthly		Yearly	
	Minimum Amount (₹)	Minimum Installments	Minimum Amount (₹)	Minimum Installments
All eligible Schemes except Axis Liquid Fund and Gold ETF	1000	12	12000	3
Axis Long Term Equity Fund*	500	6	6000	3

Note: For all schemes, minimum amount is as per above table and thereafter in multiple of ₹ 1.

For Long Term Equity Fund Minimum amount is as per above table and thereafter in multiple of ₹ 500*.

16. If no amount is mentioned minimum scheme amount would be considered i.e. for Axis Long Term Equity Fund minimum amount would be ₹ 500/- and for other schemes minimum amount would be ₹ 1,000/-.
17. The SIP will be discontinued automatically if payment is not received for three successive installments.
18. Investors can discontinue a SIP at any time by sending a written request to any Official Point of Acceptance or to the registrar Karvy. Notice of such discontinuance should be received at least 20 days prior to the due date of the next installment / debit.
19. In case an investor wishes to change the bank account details for the existing SIP registered through NACH Debit mode, then he/she has to provide a cancellation for the existing SIP Mandate and register fresh SIP with the new bank details.
20. TOP-UP Facility: Under this facility the Investor can increase the SIP installment at pre-defined intervals by a fixed amount or any time as per the request. For availing the said facilities, investors are required to note the following:
    - a Investor willing to register TOP-UP should provide the TOP-UP details along with the SIP enrolment details.
    - b The minimum amount for TOP-UP facility is ₹ 500/- and in multiples of ₹ 1/- for all schemes; except Axis Long Term Equity Fund the minimum amount is ₹ 500 and in multiples of ₹ 500 thereafter.
    - c If no amount is mentioned as TOP-UP amount under frequency yearly and half-yearly, then SIP will be registered as a standalone SIP and not as Top-Up SIP.
    - d TOP-UP frequencies available are Half-yearly/ Yearly/ 'As & When' requested intervals.
    - e In case TOP-UP frequency is not indicated, it will be considered as Yearly by Default; provided Top-Up amount is mentioned clearly.
    - f TOP-UP frequency and / or TOP-UP Amount once selected can not be changed.
    - g The date for TOP-UP Facility will correspond to the registered SIP.
    - h TOP-UP will continue till the End of the SIP tenure by default.
    - i In case an investor wishes to change the Top-Up amount (under Yearly/ Half-Yearly frequency), he/she has to provide a cancellation for the existing SIP Mandate and register fresh SIP.
    - j Only TOP-UP cannot be discontinued anywhere during the SIP tenure.
    - k A separate form is available for Top-Up request in case of As & When frequency.
    - l In case of As & When Top up option any changes in the amount can be made only after completion of 3 months from the date of the first installment. Minimum gap between two top up requests should be 3 months. Amount specified in last request shall be continued till the End of the SIP tenure.
21. Mandatory fields for filling NACH Debit / ECS. In case any of these fields are not filled mandate will be rejected.

    • Instrument date • Account type • Bank account number • Bank name • IFSC and MICR Code (As per the cheque book/Passbook) • Maximum amount (in words and in figures) • SIP start date •end date or until cancelled to be selected • Signatures as per bank records • Name as per bank records
22. Maximum Amount: It is suggested to choose a higher amount to commence additional investments in future or to absorb Top-Up increments.
23. As per SEBI circular dated August 22, 2011, Transaction Charge per subscription of ₹10,000/- and above shall be charged from the investors and shall be payable to the distributors/ brokers (who have not opted out of charging the transaction charge) in respect of applications routed through distributor/broker relating to Purchases / subscription / new inflows only (lumpsum and SIP), subject to the following:
    - a For Existing / New investors: ₹ 100 / ₹ 150 as applicable per subscription of ₹ 10,000/– and above.
    - b Transaction charge for SIP shall be applicable only if the total commitment through SIP amounts to 10,000/- and above. In such cases the transaction charge would be recovered in maximum 4 successful installments.
    - c There shall be no transaction charge on subscription below ₹ 10,000/-.
    - d There shall be no transaction charges on direct investments.
    - e There shall be no transaction charges for transaction other than purchases/subscriptions relating to new inflows such as Switches, etc.
    - f Transactions carried out through the Stock Exchange platforms for mutual funds shall not be subject to transaction charges.

The requirement of minimum application amount shall not be applicable if the investment amount falls below the minimum requirement due to deduction of transaction charges from the subscription amount.

However, the option to charge "transaction charges" is at the discretion of the distributors.

Investors may note that distributors can opt to receive transaction charges based on type of the Scheme. Accordingly, the transaction charges would be deducted from the subscription amounts, as applicable.