

October 09, 2023

The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. Tel no.: 22721233 Fax No.: 22723719/ 22723121/ 22722037 BSE Scrip Code: 542773	The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLSEC
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Dear Sir/Madam,

Sub: Statement of Grievance Redressal Mechanism for the quarter ended September 30, 2023

Pursuant to Regulation 13(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith statement of investor complaints for the quarter ended September 30, 2023.

Kindly take the above on record and oblige.

Thanking You,
Yours faithfully,

For IIFL Securities Limited

**Meghal Shah
Company Secretary**

Encl: as above

IIFL Securities Limited

Corporate Identity Number: **L99999MH1996PLC132983**

Regd. Office: IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane - 400 604
Tel: (91-22) 3929 4000/ 4103 5000 • Fax: (91-22) 2580 6654 • E-mail: secretarial@iifl.com • Website: www.iiflsecurities.com

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Statement of Grievance Redressal Mechanism for the quarter ended September 30, 2023					
Security Holders Complaints.					
Sr. No.	Description of Subjects	Opening Balance	Received	Disposed off/ Closed	Pending
1	Non Receipt of dividend/Interest/Redemption Warrant.	0	0	0	0
2	Non Receipt of Annual Reports	0	0	0	0
3	Non Receipt of Securities	0	0	0	0
4	Non Receipt of Refund Order	0	0	0	0
5	SEBI-Scores	0	3	3	0
6	BSE/NSE	0	0	0	0
	Total	0	3	3	0

For IIFL Securities Limited

Meghal Shah
Company Secretary

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