

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1.	Name of Listed Entity: IIFL Securities Limited
2.	Scrip Code/Name of Scrip/Class of Security: 542773
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :05/01/2023      NSDL : 31/12/2022      CDSL :31/12/2022



**IIFL Securities Limited**

**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a % of	(IX)			(X)	(XI)= (VII)+(X) As a	(XII)	(XIII)		(XIV)		
(A)	Promoter & Promoter Group	8	95143214	0	0	95143214	31.2094	95143214	0	95143214	31.2094	31.2094	0	0.0000	0	0.0000	95143214	
(B)	Public	62162	209711550	0	0	209711550	68.7906	209711550	0	209711550	68.7906	68.7906	0	0.0000	NA	NA	209469121	
(C)	Non Promoter - Non Public													0.0000	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0.0000	0	0.0000	NA	NA	0	
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0.0000	0	0.0000	NA	NA	0	
	Total	62170	304854764	0	0	304854764	100.0000	304854764	0	304854764	100.0000	100.0000	0	0.0000	0	0.0000	304612335	

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**IIFL Securities Limited**

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Category & Name of the shareholders	Entity Type	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form		
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)	
								Class eg: X	Class eg: Y	Total									(XII)
<b>1</b>	<b>Indian</b>																		
(a)	Individuals / Hindu Undivided Family	6	89423714	0	0	89423714	29.3332	89423714	0	89423714	29.3332	0	29.3332	0	0.0000	0	0.0000	89423714	
	Nirmal Bhanwarlal Jain	Promoters	1	46964282	0	0	46964282	15.4055	46964282	0	46964282	15.4055	0	15.4055	0	0.0000	0	0.0000	46964282
	Madhu N Jain	Promoter Group	1	12075000	0	0	12075000	3.9609	12075000	0	12075000	3.9609	0	3.9609	0	0.0000	0	0.0000	12075000
	Venkataraman Rajamani	Promoters	1	11184432	0	0	11184432	3.6688	11184432	0	11184432	3.6688	0	3.6688	0	0.0000	0	0.0000	11184432
	Mansukhlal Jain	Promoter Group	1	10000000	0	0	10000000	3.2803	10000000	0	10000000	3.2803	0	3.2803	0	0.0000	0	0.0000	10000000
	Aditi Avinash Athavankar	Promoter Group	1	9000000	0	0	9000000	2.9522	9000000	0	9000000	2.9522	0	2.9522	0	0.0000	0	0.0000	9000000
	Aditi Athavankar	Promoter Group	1	2000000	0	0	2000000	0.0656	2000000	0	2000000	0.0656	0	0.0656	0	0.0000	0	0.0000	2000000
(b)	Central Government / State Government(s)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(c)	Financial Institutions / Banks		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(d)	Any Other (Specify)		2	5719500	0	0	5719500	1.8761	5719500	0	5719500	1.8761	0	1.8761	0	0.0000	0	0.0000	5719500
	Persons Acting In Concert		2	5719500	0	0	5719500	1.8761	5719500	0	5719500	1.8761	0	1.8761	0	0.0000	0	0.0000	5719500
	Orpheus Trading Pvt Ltd	Promoter Group	1	3019500	0	0	3019500	0.9905	3019500	0	3019500	0.9905	0	0.9905	0	0.0000	0	0.0000	3019500
	Ardent Impex Pvt Ltd	Promoter Group	1	2700000	0	0	2700000	0.8857	2700000	0	2700000	0.8857	0	0.8857	0	0.0000	0	0.0000	2700000
	<b>Sub Total (A)(1)</b>		<b>8</b>	<b>95143214</b>	<b>0</b>	<b>0</b>	<b>95143214</b>	<b>31.2094</b>	<b>95143214</b>	<b>0</b>	<b>95143214</b>	<b>31.2094</b>	<b>0</b>	<b>31.2094</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>95143214</b>
<b>2</b>	<b>Foreign</b>																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(b)	Government		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(c)	Institutions		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(d)	Foreign Portfolio Investor		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(e)	Any Other (Specify)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
	<b>Sub Total (A)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	
	<b>Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)</b>		<b>8</b>	<b>95143214</b>	<b>0</b>	<b>0</b>	<b>95143214</b>	<b>31.2094</b>	<b>95143214</b>	<b>0</b>	<b>95143214</b>	<b>31.2094</b>	<b>0</b>	<b>31.2094</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>95143214</b>

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

GENERATED ON :05/01/2023

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**IIFL Securities Limited**

**Table III - Statement showing shareholding pattern of the Public shareholder**

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including conversion of convertible securities (as a percentage)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	Sub-categorization of shares						
							No of Voting Rights					Total as a % of Total Voting Rights	No. (a)		As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	Number of shares under	Sub-category(i)	Sub-category(ii)	Sub-category(iii)
							Class eg: X	Class eg: Y	Total												
<b>1 Institutions (Domestic)</b>																					
(a) Mutual Fund	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0						
(b) Venture Capital Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0						
(c) Alternate Investment Funds	2	9665323	0	0	9665323	3.1705	9665323	0	9665323	3.1705	0	3.1705	0	0.0000	NA	NA	9665323	0	0	0	
Abakkus Growth Fund-1	1	6165423	0	0	6165423	2.0224	6165423	0	6165423	2.0224	0	2.0224	0	0.0000	NA	NA	6165423				
Abakkus Emerging Opportunities Fund-1	1	3499900	0	0	3499900	1.1481	3499900	0	3499900	1.1481	0	1.1481	0	0.0000	NA	NA	3499900				
(d) Banks	1	1883	0	0	1883	0.0006	1883	0	1883	0.0006	0	0.0006	0	0.0000	NA	NA	1883	0	0	0	
(e) Insurance Companies	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
(f) Provident Funds/ Pension Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
(g) Asset Reconstruction Companies	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
(h) Sovereign Wealth Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
(i) NBFCs registered with RBI	2	1200	0	0	1200	0.0004	1200	0	1200	0.0004	0	0.0004	0	0.0000	NA	NA	1200	0	0	0	
(j) Other Financial Institutions	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
(k) Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
Sub Total (B)(1)	5	9668406	0	0	9668406	3.1715	9668406	0	9668406	3.1715	0	3.1715	0	0.0000	NA	NA	9668406	0	0	0	
<b>2 Institutions (Foreign)</b>																					
(a) Foreign Direct Investment	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
(b) Foreign Venture Capital Investors	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
(c) Sovereign Wealth Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
(d) Foreign Portfolio Investors Category I	63	50392721	0	0	50392721	16.5301	50392721	0	50392721	16.5301	0	16.5301	0	0.0000	NA	NA	50392721	0	0	0	
Hwic Asia Fund Class A Shares	1	28362530	0	0	28362530	9.3036	28362530	0	28362530	9.3036	0	9.3036	0	0.0000	NA	NA	28362530				
Bank Muscat India Fund	1	12598222	0	0	12598222	4.1325	12598222	0	12598222	4.1325	0	4.1325	0	0.0000	NA	NA	12598222				
(e) Foreign Portfolio Investors Category II	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
(f) Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
(g) Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
Sub Total (B)(2)	63	50392721	0	0	50392721	16.5301	50392721	0	50392721	16.5301	0	16.5301	0	0.0000	NA	NA	50392721	0	0	0	
<b>3 Central Government/ State Government(s)</b>																					
(a) Central Government / President of India	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
(b) State Government / Governor	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
(c) Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
Sub Total (B)(3)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
<b>4 Non-Institutions</b>																					
(a) Associate companies / Subsidiaries	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
(b) Directors and their relatives (excluding Independent Directors and nominee Directors)	1	105000	0	0	105000	0.0344	105000	0	105000	0.0344	0	0.0344	0	0.0000	NA	NA	105000	0	0	0	
(c) Key Managerial Personnel	2	7000	0	0	7000	0.0023	7000	0	7000	0.0023	0	0.0023	0	0.0000	NA	NA	7000	0	0	0	
(d) Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)	4	2840144	0	0	2840144	0.9316	2840144	0	2840144	0.9316	0	0.9316	0	0.0000	NA	NA	2840144	0	0	0	
(e) Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
(f) Investor Education and Protection Fund (IEPF)	1	26244	0	0	26244	0.0086	26244	0	26244	0.0086	0	0.0086	0	0.0000	NA	NA	26244	0	0	0	
(g) i. Resident individual holding nominal share capital up to Rs. 2 lakhs.	60017	30703810	0	0	30703810	10.0716	30703810	0	30703810	10.0716	0	10.0716	0	0.0000	NA	NA	30686605	0	0	0	
(h) ii. Resident individual holding nominal share capital in excess of Rs. 2 lakhs.	42	13389241	0	0	13389241	4.3920	13389241	0	13389241	4.3920	0	4.3920	0	0.0000	NA	NA	13389241	0	0	0	
(i) Non Resident Indians (NRIs)	783	13592531	0	0	13592531	4.4587	13592531	0	13592531	4.4587	0	4.4587	0	0.0000	NA	NA	13367531	0	0	0	
Parajia Bharat Himattal	1	11000000	0	0	11000000	3.6083	11000000	0	11000000	3.6083	0	3.6083	0	0.0000	NA	NA	11000000	0	0	0	
(j) Foreign Nationals	1	135000	0	0	135000	0.0443	135000	0	135000	0.0443	0	0.0443	0	0.0000	NA	NA	135000	0	0	0	
(k) Foreign Companies	1	84641445	0	0	84641445	27.7645	84641445	0	84641445	27.7645	0	27.7645	0	0.0000	NA	NA	84641445	0	0	0	
Fih Mauritius Investments Ltd	1	84641445	0	0	84641445	27.7645	84641445	0	84641445	27.7645	0	27.7645	0	0.0000	NA	NA	84641445				
(l) Bodies Corporate	261	2501366	0	0	2501366	0.8205	2501366	0	2501366	0.8205	0	0.8205	0	0.0000	NA	NA	2501142	0	0	0	
(m) Any Other (Specify)	981	1708642	0	0	1708642	0.5605	1708642	0	1708642	0.5605	0	0.5605	0	0.0000	NA	NA	1708642	0	0	0	
Trusts	2	75084	0	0	75084	0.0246	75084	0	75084	0.0246	0	0.0246	0	0.0000	NA	NA	75084	0	0	0	
Body Corp-Ltd Liability Partnership	26	554508	0	0	554508	0.1819	554508	0	554508	0.1819	0	0.1819	0	0.0000	NA	NA	554508	0	0	0	
Office Bearers	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0	
Hindu Undivided Family	929	1062192	0	0	1062192	0.3484	1062192	0	1062192	0.3484	0	0.3484	0	0.0000	NA	NA	1062192	0	0	0	
Clearing Member	24	16858	0	0	16858	0.0055	16858	0	16858	0.0055	0	0.0055	0	0.0000	NA	NA	16858	0	0	0	
Sub Total (B)(4)	62094	149650423	0	0	149650423	49.0891	149650423	0	149650423	49.0891	0	49.0891	0	0.0000	NA	NA	149407994	0	0	0	
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)+(B)(4)	62162	209711550	0	0	209711550	68.7906	209711550	0	209711550	68.7906	0	68.7906	0	0.0000	NA	NA	209469121	0	0	0	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	%
3	1.8761

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	0
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Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.
- (4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be classified in the category
- (5) Sub-categorization of shares under column no.(XV) will be based on shareholding(no. of shares) under the following sub-categories:
  - (i) Shareholder who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative(i.e. Director) on the board of the listed entity.
  - (ii) Shareholder who have entered into shareholder agreement with the listed entity.
  - (iii) Shareholders acting as persons in concert with promoters.

GENERATED ON :05/01/2023 NSDL : 31/12/2022 CDSL



**IIFL Securities Limited**

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including securities)	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
1		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

**Note :**

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

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NSDL : 31/12/2022

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**IIFL Securities Limited**

**Table V - Statement showing details of the Significant Beneficial Owner**

Sr. No	Details of SBO (I)		Details of Registered Owner (II)		Details of holding/ Exercise of right of the SBO in the reporting Company, whether direct or indirect*: (III)					Date of Creation/Acquisition of
	Name	Nationality	Name	Nationality	Whether by virtue of:					
					Shares %	Voting Rights %	Rights on distributable dividend or any other distribution	Exercise of Control	Exercise of Significant Influence	
1	Nirmal Jain and Madhu Jain collectively	Indian	Orpheus Trading Private Limited	Indian	0.99	-	-	No	No	06-06-2019
2	Nirmal Jain and Madhu Jain collectively	Indian	Ardent Impex Private Limited	Indian	0.89	-	-	No	No	06-06-2019
3	Nirmal Jain, Madhu Jain, Bhavya Jain, Kalpita Jain and Harshita Jain collectively	Indian	Mansukhlal Jain and Harshita Jain (in their capacity as Trustee of Nirmal Madhu Family Private Trust)	Indian	3.28	-	-	No	No	06-06-2019

