

October 07, 2020

The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. Tel no.: 22721233 Fax No.: 22723719/ 22723121/ 22722037 BSE Scrip Code: 542773	The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLSEC
---	---

Dear Sir/Madam,

Sub: Statement of Grievance Redressal Mechanism for the quarter ended September 30, 2020

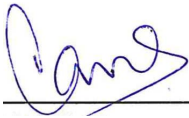
Pursuant to Regulation 13(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith quarterly statement of Grievance Redressal Mechanism for the quarter ended September 30, 2020.

Kindly take the above on record and oblige.

Thanking You,

Yours faithfully,

For IIFL Securities Limited



**Roshan Dave
Company Secretary**



IIFL Securities Limited

Corporate Identity Number: **L99999MH1996PLC132983**

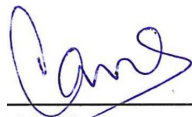
Regd. Office: IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane - 400 604

Tel: (91-22) 3929 4000/ 4103 5000/ 6272 7000 • Fax: (91-22) 2580 6654 • E-mail: secretarial@iifl.com • Website: www.iiflsecurities.com

October 07, 2020

Statement of Grievance Redressal Mechanism for the quarter ended September 30, 2020					
Security Holders Complaints.					
Sr. No.	Description of Subjects	Opening Balance	Received	Attended	Pending
1	Non Receipt of dividend/Interest/Redemption Warrant.	0	1	1	0
2	Non Receipt of Annual Reports	0	0	0	0
3	Non Receipt of Securities	0	0	0	0
4	Non Receipt of Refund Order	0	0	0	0
5	SEBI-Scores	0	1	1	0
	Total	0	2	2	0

For IIFL Securities Limited



Roshan Dave
Company Secretary



IIFL Securities Limited

Corporate Identity Number: **L99999MH1996PLC132983**

Regd. Office: IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane - 400 604

Tel: (91-22) 3929 4000/ 4103 5000/ 6272 7000 • Fax: (91-22) 2580 6654 • E-mail: secretarial@iifl.com • Website: www.iiflsecurities.com

Date: 06.10.2020

To,
Company Secretary
IIFL Securities Limited
Plot No. B - 23, IIFL House, Sun Infotech Park,
Road No - 16V, Thane Industrial Area, Wagle Estate,
Thane, Maharashtra, 400604

Sub : Investor Grievance Report for the period from 01.07.2020 to 30.09.2020

We are the Registrar and Transfer Agent for **IIFL Securities Limited**

Statement of Investor complaints for the quarter ended 30th September, 2020 under Regulation 13 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is to certify that we received 02 complaint letters from the shareholders for the period 01.07.2020 to 30.09.2020

The details of the complaints are given below.

Particulars	Quarter ended 30 th September, 2020
Investor Complaints:	
Pending at the beginning of the quarter	Nil
Received during the quarter	02
Disposed of during the quarter	02
Remaining unresolved at the end of the quarter	Nil

* Further, Register of Members has been updated as on 30-09-2020.

This is for your information.

For Link Intime India Private Ltd.



Authorized Signatory