

April 10, 2024

<b>The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. Tel no.: 22721233 Fax No.: 22723719/ 22723121/ 22722037 BSE Scrip Code: 542773</b>	<b>The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLSEC</b>
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Dear Sir/Madam,

**Sub:- Submission of Compliance Certificate pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we have enclosed captioned certificate, for the period from April 01, 2023 to March 31, 2024 (both days inclusive), counter signed by the authorised representative of Link Intime India Private Limited, Registrar & Share Transfer Agent of the Company.

Kindly take the same on record and oblige.

Thanking You,  
Yours faithfully,

**For IIFL Securities Limited**

**Meghal Shah  
Company Secretary**

Encl: as above

**IIFL Securities Limited**

Corporate Identity Number: **L99999MH1996PLC132983**

**Regd. Office:** IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane - 400 604

Tel: (91-22) 6272 7000 • Fax: (91-22) 2580 6654 • E-mail: [secretarial@iifl.com](mailto:secretarial@iifl.com) • Website: [www.iiflsecurities.com](http://www.iiflsecurities.com)

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Dear Sirs,

**Subject: Compliance Certificate - Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that all activities in relation to share transfer facility were maintained during the period from April 1, 2023 to March 31, 2024 (both days inclusive) by the Company's Registrar and Share Transfer Agent i.e., Link Intime India Private Limited, which is registered as Registrar & Share Transfer Agent in Category-I with the Securities and Exchange Board of India vide Registration Number: INR000004058.

Thanking you.

Yours truly,

For IIFL Securities Limited

Meghal  
Abhishek  
Shah

Digitally signed by  
Meghal Abhishek  
Shah  
Date: 2024.04.10  
09:48:14 +05'30'

**Meghal Shah**  
**Company Secretary**

For Link Intime India Private Limited

ASHOK  
SOMAPPA  
SHETTY

Digitally signed by ASHOK SOMAPPA SHETTY  
DN: cn=Personal,  
pseudoym=00B05944A6F355DDFD8287  
86CC180488882A96,  
2.5.4.20=d448801a4666b3760325b0d356b7d  
b239f8d631efcd999845c3b02e153e2559,  
serialNumber=431202, o=LinkIntimeIndia,  
serialNumber=C53A03CD3F08CEDEBD7DB26A  
04f4d14EEA8AC201743E19F70E18BA79D86  
A4A30K, cn=ASHOK SOMAPPA SHETTY  
Date: 2024.04.10 10:41:34 +05'30'

**Authorized Representative**

**IIFL Securities Limited**

Corporate Identity Number: **L99999MH1996PLC132983**

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